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# Properties of 3Y-TZP zirconia ceramics with graphene addition obtained by spark plasma sintering

Nina Obradović<sup>1,\*</sup>, Frank Kern<sup>2</sup>

<sup>1</sup>Institute of Technical Sciences of SASA, Knez Mihailova 35/IV, 11000 Belgrade, Serbia

<sup>2</sup>Universität Stuttgart, Institut für Fertigungstechnologie keramischer Bauteile (IFKB),  
D- 70567 Stuttgart, Germany

## ABSTRACT

In this study the influence of graphene addition on the microstructure, phase composition, mechanical, and electrical properties of 3Y-TZP ceramics was investigated. Blends of pure 3Y-TZP and with addition of 1–4 vol. % graphene were prepared by mixing and milling, and they were consolidated by spark plasma sintering (SPS).

Addition of 3 vol. % graphene is necessary to overcome the percolation threshold and obtain electrically conductive composites. However, rising the graphene contents obstructs sinterability. Hence, flexural strength, Young's modulus, and hardness decrease with increasing the graphene content, and the fracture resistance reaches an intermediate maximum at 2 vol. % graphene. Graphene lamellae are oriented orthogonally to the pressing direction. They evidently provide some energy dissipation by crack deflection. TZP-graphene interfaces are very weak. Thus, crack bridging can be neglected.

**Keywords:** Zirconia, Graphene, XRD, SEM, Mechanical properties, SPS

## 1. Introduction

Yttrium stabilized zirconia ceramics with high strength and toughness are today applied in machine elements and in biomedical applications such as dental implants, crowns, and bridges [1]. The basis of the excellent mechanical properties is the transformation toughening – a stress induced phase transformation from metastable tetragonal to the stable monoclinic phase. As this transformation is associated with volume expansion and shear, it puts a proceeding crack under compression and slows or stops its growth [2].

\*Corresponding author: Dr. Nina Obradović (nina.obradovic@itn.sanu.ac.rs)

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4 Among other isovalent or aliovalent stabilizers added to retain the tetragonal phase at the  
5 room temperature, yttrium is the most important today. Trivalent  $Y^{3+}$  incorporated as a solid  
6 solution into the lattice of zirconia introduces one oxygen vacancy per  $2Y^{3+}$  cations for charge  
7 neutrality [1]. Besides these stabilizer derived vacancies, vacancies can be introduced by  
8 changing sintering conditions. Vacancies in general contribute to stabilizing the high temperature  
9 phases (tetragonal and cubic) [3]. 3Y-TZP has also been used as a matrix material for various  
10 composite ceramics. TZP-alumina composites (alumina toughened zirconia, ATZ) show  
11 enhanced strength and hardness compared to plain Y-TZP [4, 5]. Incorporation of electrically  
12 conductive non-oxides such as transition metal carbides, borides, and nitrides (e.g., TiN, WC,  
13  $TiB_2$ ) in fractions above the percolation threshold makes TZP composite ceramics electrically  
14 conductive and electric discharge machinable [6–10]. 3Y-TZP-(30–40 vol. %)TiN and 3Y-TZP-  
15 (30–40 vol. %)NbC are commercially applied in manufacturing of customized complex-shape  
16 ceramic components.  
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18 Recently, carbon materials, such as nano-carbon nanotubes or graphene platelets, have  
19 attracted considerable scientific interest to make materials electrically conductive. Nanotubes or  
20 platelets, due to their high aspect ratio, can be expected to lead to conductive materials at volume  
21 contents lower than for isometric particles [11]. The concept was successfully applied to polymer  
22 matrix composites [12]. Recently, the addition of carbon nanostructures in ceramics has become  
23 an interesting research topic. A single layered graphene possesses outstanding electrical, thermal,  
24 and mechanical properties. Due to a high electron mobility at room temperature ( $2.5 \times$   
25  $10^5 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ ), exceptional thermal conductivity ( $5000 \text{ Wm}^{-1}\text{K}^{-1}$ ), and superior mechanical  
26 properties with a Young's modulus of 1 TPa, its presence may greatly enhance the electrical  
27 conductivity of composites when added to an insulating ceramic matrix [13]. In materials with  
28 brittle matrix, such as silicon carbide and alumina, some results indicate the presence of  
29 toughening effects [14, 15]. Most toughness values documented – probably due to the small size  
30 of samples made by SPS – are, however, obtained by direct crack length measurements, which  
31 may lead to misleading values [16]. Others use SEPB tests with blunt notches, which are also not  
32 suitable to determine the fracture resistance of ultrafine grain materials [17, 18]. Furthermore, it  
33 is known that attention must be paid to the source of graphene used and processing technology,  
34 in order to obtain a homogeneous dispersion of graphene in the parent matrix. A good overview  
35 of existing technologies is given by Markandan [19]. Graphene may be introduced by  
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4 mechanical alloying, colloidal mixing, or by more sophisticated chemical routes such as polymer  
5 derived ceramics or sol-gel. Publications on Y-TZP-graphene are not very frequent and most of  
6 them cover a graphene or graphene oxide content range which is far too low for ED-machining  
7 target applications [17].  
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11 Graphene may influence the tetragonal to monoclinic and cubic phase ratios in the  
12 matrix, which could affect the fracture toughness. Moreover, the high ratio of graphene  
13 compared to matrix grains may induce texture effects occurring during shaping/sintering, which  
14 have to be considered. Therefore, the understanding of interfacial structures and properties is  
15 crucial in order to obtain high performance ceramic/graphene composites.  
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19 The crucial questions for the development of ED-machinable TZP-graphene composites  
20 are: how much graphene has to be added to obtain the required electrical conductivity and what  
21 is the effect on mechanical properties and possible applications. The basic conductivity threshold  
22 is at 1 S/m (in commercially available performing ED-machinable ceramics several orders of  
23 magnitude higher is required) [6, 20]. In this study, a commercially available high strength  
24 alumina doped 3Y-TZP material with a proven track record in dental applications was blended  
25 without and with the addition of 1–4 vol. % of graphene, consolidated by spark plasma sintering  
26 (SPS), and subsequently tested, in order to obtain electrical conductive ceramic and to  
27 investigate the influence of graphene addition on sinterability and mechanical properties of  
28 zirconia-based ceramics.  
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## 41 2. Experimental procedure

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44 For this study a standard 3Y-TZP zirconia powder (TZ 3Y-SE,  $S_{BET} = 7 \text{ m}^2/\text{g}$  Tosoh,  
45 Japan) was used as matrix material. Graphene nanoplatelet aggregates ( $S_{BET} = 7 \text{ m}^2/\text{g}$ , ABCR,  
46 Germany) were added in fractions in the range 1–4 vol. % in 1 vol. % increments. (Assuming a  
47 bulk density of  $\sim 2.2 \text{ g}/\text{cm}^3$  for graphene and  $\sim 6.1 \text{ g}/\text{cm}^3$  for 3Y-TZP, this corresponds to  
48 volume contents of 0–10.2 vol. % provided the full density is achieved.)  
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53 The individual batches of 100 g powder mixture were attrition milled for 4 h at 400 rpm  
54 in 250 ml 2-propanol, with 3Y-TZP milling balls of 2 mm diameter. The grinding media were  
55 then separated and the resulting slurry was dried at 45 °C overnight. The dry residue was  
56 screened through a 100  $\mu\text{m}$  mesh to provide the press ready feedstock.  
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4 Discs of 45 mm diameter were spark plasma sintered (FCT Anlagenbau, Germany) at a  
5 final temperature of 1350 °C at 60 MPa axial pressure and 5 min dwell in graphite paper clad  
6 graphite dies. An initial load of 2 MPa was applied at the start of the SPS, it was elevated to  
7 60 MPa after the sintering temperature of 1100 °C was reached, and kept during further heating  
8 to the final temperature and dwell. Sintering was carried out in a vacuum using a heating rate of  
9 20 K/min. Two discs of approx. 30 g of each composition were SPS sintered.

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15 Samples were lapped with 15 µm diamond suspension and afterwards polished using  
16 15 µm, 3 µm, and 1 µm diamond suspensions until a mirror-like surface was achieved (Struers  
17 Rotopol, Denmark). Young's modulus and Poisson's ratio were measured on entire discs by  
18 using the acoustic method (IMCE, Belgium). Densities were determined according to the  
19 Archimedes principle (Kern ABS, Germany) in distilled water. Furthermore, Vickers hardness  
20 HV10 and indentation fracture resistance by direct crack length measurement (DCM) were  
21 carried out on polished discs. DCM (five HV10 indents each) tests were evaluated according to  
22 the models of Evans, Anstis, and Niihara [21–23]. For the bending strength tests and fracture  
23 resistance determination by indentation strength in bending (ISB), the two thinner discs were cut  
24 into bars of 4 mm width using a diamond wheel (Struers Accutom 50, Denmark). Sides of the  
25 bars were lapped using 15 µm diamond suspension and edges were beveled using a 20 µm  
26 diamond disc to avoid any influence of cutting defects on the measurements. Bending strength  
27 (10 specimens each) was determined in a 4-pt setup with 20/10 mm outer/inner span. Crosshead  
28 speed was set to 0.5 mm/min (Z100, Zwick Ulm, Germany). ISB tests were performed with the  
29 same setup using a crosshead speed of 2.5 mm/min to avoid subcritical crack growth. Notching  
30 was carried by placing a HV10 indent in the middle axis of the tensile side of the bars with  
31 cracks parallel and perpendicular to the sides. The residual strength was measured immediately  
32 after notching and  $K_{IC,ISB}$  calculated according to the model of Chantikul [24].

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48 Electrical conductivities of the materials were determined by using the 4 point  
49 measurement method and using polished bending bars of minimum 40 mm length, 3.9 mm width  
50 and ~ 2 mm thickness. The microstructure of polished and thermally etched samples (Hydrogen  
51 at 1300 °C for 5 min), as well as the fracture surface, were studied by SEM (Zeiss Gemini,  
52 Germany, secondary electrons, 10 kV, in lens technology). The phase composition of the  
53 samples was investigated by XRD (Bruker D8, Germany, CuK $\alpha$ , Bragg Brentano setup, 2-theta  
54 27–33 °, and integration of (111)-*m*, (111)-*m*, and (101)-*t* reflections).

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4 A basic ED-machining test was carried out by die sinking with copper electrodes in an  
5 oil-based dielectric fluid (AEG Elotherm, Germany).  
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### 10 11 **3. Results and discussion**

#### 12 *3.1. Mechanical and electrical properties*

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17 All samples showed relative theoretical densities (TD) higher than 97.5 % (based on the  
18 rule of mixture, assuming the tetragonal phase for zirconia, and  $\rho_{TD(3Y-TZP)} = 6.08 \text{ gcm}^{-3}$ ,  
19  $\rho_{TD(\text{graphene})} = 2.23 \text{ gcm}^{-3}$ ). Samples with up to 1 vol. % graphene showed densities higher than  
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22 99.2 % TD.  
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26 **Figure 1.** Young's modulus and relative density of sintered samples.  
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30 A further increase of the graphene contents seems to impede the densification. In line  
31 with the density data, the Young's modulus decreases almost linearly from 212.9 GPa for 3Y-  
32 ZTA to 159.7 GPa for 3Y-ZTA-4G (see Figure 1), and follows the trend of the density behavior.  
33 An increase of Young's modulus, as may be expected from the rule of mixture considering that  
34 the high in-plane stiffness of graphene, was not observed.  
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39 Figure 2 shows the hardness and bending strength of the TZP-graphene composites. Both  
40 values decline with increasing the graphene content, whereas the hardness shows a linear decline  
41 and the strength shows an exponential decline. Both curves reflect the trend to lower the density  
42 and increase the porosity and, thereby, the amount of structural defects.  
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46 **Figure 2.** Vickers hardness HV10 and 4pt bending strength of sintered samples.  
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50 Figure 3 shows the fracture resistance determined by the DCM method using the  
51 Palmquist crack model by Niihara [23] and by the ISB method. The ISB test leads to a trend with  
52 an intermediate toughness maximum at 1–2 vol. % graphene and a progressive decline at  
53 elevated graphene contents. The DCM test shows no clear trend. The ISB test seems more  
54 reliable as it involves no subjective errors in measuring the crack length. Still, the different  
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4 values may hint at a different ratio between the intrinsic toughness and the R-curve behavior in  
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6 both materials.

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8 **Figure 3.** Fracture resistance values  $K_{DCM}$  and  $K_{ISB}$  of sintered samples.  
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11 The DCM toughness values according to Evans and Anstis are not shown. They show  
12 identical trends, either on a reduced level (Anstis) or on an almost identical level (Evans).  
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15 The electrical conductivity of the investigated materials showed values of 58.31 S/m for  
16 3Y-ZTA-3G and 291.55 S/m for 3Y-ZTA-4G. This strong increase in conductivity with the  
17 fraction of the added graphene reflects the behavior at the edge of the percolation threshold. The  
18 conductivity of materials with lower graphene content was not measurable.  
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### 24 *3.2. Microstructure*

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27 SEM micrographs obtained on polished and thermally etched sintered samples are  
28 presented in Figure 4. A homogenous fully dense microstructure with no porosity is present  
29 within the reference sample 3Y-TZP. Grains have a size of < 500 nm. Small graphene platelets in  
30 the sample with 1 vol. % graphene are isolated and homogeneously distributed in the matrix. The  
31 shape, size, and size distribution of graphene change with increasing the graphene fraction. In  
32 samples with 2 vol. % graphene, much longer graphene lamellae of up to 1  $\mu\text{m}$  length appear  
33 besides smaller fragments. This trend is amplified in samples with 3 vol. %. Here an increasing  
34 number of multilayer inclusions can be observed. In samples with 4 vol. %, the preferred  
35 orientation of platelets orthogonal to the pressing direction breaks down some larger curled.  
36 Folded graphene structures are observed, which strongly obstruct the structure. With increasing  
37 the volume fraction of graphene, a decrease in density of the material and the occurrence of  
38 pores is observed. Pores are only present in the vicinity of graphene platelets. This observation is  
39 in line with measured densities and Young's moduli.  
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53 **Figure 4.** SEM micrographs of sintered samples obtained on thermally etched surface:

54 a) 3Y-ZTA, b) 3Y-ZTA-1G, c) 3Y-ZTA-2G, d) 3Y-ZTA-3G, and e) 3Y-ZTA-4G.

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57 **Figure 5.** SEM micrographs of sintered samples obtained on fractured surface:

58 a) 3Y-ZTA, b) 3Y-ZTA-1G, c) 3Y-ZTA-2G, d) 3Y-ZTA-3G, and e) 3Y-ZTA-4G.  
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4 Figure 5 presents SEM micrographs obtained on fractured surfaces of all sintered  
5 samples. The plain 3Y-ZTA sample shows a mixed fracture behavior with predominant  
6 intergranular fracture. With graphene addition, the fracture mode is slightly shifted exhibiting  
7 some more transgranular fractures. In samples with only 1 vol. % graphene, a very homogeneous  
8 distribution of graphene in the TZP matrix is observed, platelets are well embedded, and the  
9 porosity in the vicinity of the graphene structures is not detected. The fracture surfaces of  
10 samples with 2–3 vol. % graphene look very similar: larger platelets form typical pullout  
11 structures, fragments either stick in one of the fracture faces or leave slot-shaped voids in the  
12 counterpart. Areas previously covered with graphene are very smooth, indicating very poor  
13 interfacial strength. The graphene platelets as such seem to have a very high strength as they are  
14 never fractured. This is in line with expectations. The fracture surfaces in these composites are  
15 much rougher than in case of plain 3Y-TZP, which indicates the presence of toughening effects  
16 by crack deflection.  
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### 30 *3.3. Phase composition*

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33 **Figure 6.** XRD patterns of all sintered samples obtained on polished surface.  
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37 The XRD patterns obtained on the surface as well as on the fracture phase in the range  
38  $27\text{--}33^\circ 2\theta$ , reveal only the presence of the tetragonal (*101*) reflection at  $30.18^\circ$  in all the sintered  
39 composites (see Figure 6). There is no observation of appearance of the monoclinic phase in  
40  $\text{ZrO}_2$  with the addition of graphene, indicating that in this observed region the addition of  
41 graphene does not induce *t* → *m*  $\text{ZrO}_2$  phase transformation. Fracture surfaces (not shown) were  
42 checked. The monoclinic phase was not found. This shows that there is no measurable  
43 contribution to toughness by the transformation toughening. The presence of the cubic  $\text{ZrO}_2$   
44 phase was not observed. In the range  $70\text{--}75^\circ 2\theta$  only the tetragonal (*004*) and (*400*) peaks were  
45 detected.  
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### 56 *3.4. ED-machinability*

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4 Samples containing 3 and 4 vol. % graphene were checked for ED machinability on a die  
5 sinking machine. The basic test showed that the materials can be electrically contacted. Some  
6 sparks were observed, but a controlled material removal process, which would be necessary for  
7 technical exploitation, was not possible.  
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#### 10 11 12 13 **4. Discussion** 14 15

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17 In this work a mixing and milling approach was tested to manufacture Y-TZP/graphene  
18 composites. It can be stated that the chosen milling procedure (4 h attrition milling in 2-  
19 propanol) efficiently deagglomerates the Y-TZP. The ability of this procedure to break up the  
20 graphene aggregates of the graphene fraction is low. At 1 vol. % graphene, only small fragments  
21 are produced, which are well embedded into the TZP matrix, and do not cause any severe defects  
22 of pores. At an increasing fraction of graphene, the milling efficiency is visibly reduced. Larger  
23 fragments of multilamellar graphene platelets are left besides smaller fragments. It may be  
24 speculated that when higher fractions of graphene are added, some lubrication effects appear that  
25 reduce the milling efficiency.  
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28 These large fragments align orthogonally to the direction of loading and lead to a strong  
29 anisotropy. Moreover, it can be seen that the stress transfer between the matrix and graphene  
30 reinforcement is not very efficient. Cracks are easily deflected along TZP graphene interfaces,  
31 which should *a priori* lead to improved toughness. Very smooth interfaces and the ease of  
32 pullout indicate that the interlocking between the matrix and reinforcement is very weak. This  
33 observations were also found in the literature, but interpreted in a different manner [17, 25, 26].  
34 At very high graphene contents, curled multilayer inclusions are formed. Larger fractions of  
35 graphene introduce pores and microstructural defects, which lead to deteriorated strength,  
36 hardness, and Young's modulus. Fracture resistance values determined by ISB test using sharp  
37 notches and measuring residual strength show only an incremental increase in toughness up to  
38 2 vol. % graphene, and a subsequent embrittlement. DCM test show much higher non-  
39 systematical fluctuations and give rise to the suggestion that enhanced toughness values reported  
40 in earlier studies could be artifacts of the measuring technology (too low load [27]), and  
41 anisotropic microstructure [17, 26].  
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4 Another fact supporting the statement of weak grain boundaries is the phase composition.  
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6 Graphene has a negative in-plane CTE, whereas CTE of TZP is positive. It was expected that  
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8 during cooling strong residual stress should evolve between graphene and TZP, leaving TZP  
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10 under tension and graphene under compression. This effect may cause phase transformation of  
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12 zirconia during cooling or during fracture due to the superposition of the residual and applied  
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14 stress. However, a phase transformation was not observed, neither in bulk material, nor in the  
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16 fractured specimen. This fact further supports the statement that the interface is weak. Most  
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18 probably the graphene platelets under compression are just clamped between very smooth  
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20 zirconia surfaces and slide relative to the surrounding TZP. Thereby, a relaxation of the stress  
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22 occurs and possibly also a microscopic in situ delamination during cooling. As this effect should  
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24 scale with size of graphene inclusions, it was not observed in the material containing 1 vol. %  
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26 graphene. The results are in line with results of Chen who succeeded in introducing very small  
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28 graphene platelets [26]. In multilayer structures, such as at higher graphene contents, this is even  
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30 more facile. Sintering conditions were chosen correctly. The TZP made from coprecipitated  
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32 mixture is super-saturated with yttrium, at the sintering temperature of 1350 °C tetragonal and  
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34 cubic phase coexist in a ratio of ~ 85/15 [28]. There was, however, no cubic phase detected.  
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36 Supersaturation of the stabilizer prevails and the phase segregation is not observed [29]. As the  
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38 graphene containing materials also show no indications of the cubic phase, the presence of  
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40 carbon did not lead to incorporation of carbon into the anionic lattice of zirconia. The formation  
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42 of reduction-induced vacancies further contributes to stabilization. The negative side-effect is,  
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44 however, a very moderate toughness of the material as transformation toughening effects are  
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46 completely absent. This, however, allowed the establishment of the – apparently not existing –  
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48 toughening effect of graphene without superposition of transformation toughening effects. As  
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50 expected, the graphene addition leads to a certain electrical conductivity in the composites,  
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52 which is higher than the required minimum threshold for ED-machinability [20]. Compared to  
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54 commercially ED-machinable ceramics made conductive by the addition of transition metal  
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56 carbides or nitrides, the measured conductivity is lower by a factor of 100-1000, which may be  
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58 (together with the anisotropy of the materials) the cause for the failure of the ED-machining test  
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60 [30]. However, the obtained conductive ceramic materials can be used in technical applications  
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62 where removal of electrostatic buildup is required, in devices where an electric contact is  
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4 required for sensing and measurements, and as absorbing materials for radiofrequency and  
5 microwave applications.  
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8 Graphene has two basic roles. The first one is to improve the electrical conductivity. In  
9 that sense, small, randomly oriented fragments are more efficient. The second role is to boost the  
10 strength and toughness. For this role, larger single lamella able to bridge cracks and bear high  
11 stress would be favored. The axial pressing technology, however, always leads to laminate  
12 structures with a strong anisotropy and low coherence between the matrix and dispersion.  
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## 18 19 **5. Conclusion**

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22 Composites 3Y-TZP-graphene were made electrically conductive by addition of  
23 graphene in fractions  $\geq 3$  vol. %. The addition of graphene leads to a progressive decline in  
24 hardness, strength, and Young's modulus. Any significant increase of toughness was not  
25 observed.  
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30 The analysis of the fracture surface shows indications of strong pullout effects. It also  
31 shows that the bonding of the matrix and reinforcement is relatively weak as contact areas are  
32 perfectly smooth. Crack deflection is facilitated, but stress transfer to the reinforcement is not  
33 strong enough.  
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37 Results indicate that mixing and milling approach seems to give convenient  
38 microstructures for composites with 1 vol. % – 2 vol. % graphene. Higher graphene fractions  
39 ( $\geq 3$  vol. %) result in a broad graphene size distribution and the appearance of multilayer  
40 lamellae. Consolidation by spark plasma sintering leads to highly anisotropic materials. Further  
41 studies will be necessary to achieve the target of producing technically relevant ED-machinable  
42 composites. However, the obtained conductive ceramic materials can find various applications in  
43 electrical engineering, e.g., for draining static electricity, providing contacts for sensing and  
44 measurements, and as absorbing materials for radiofrequency and microwave applications.  
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4 **Acknowledgement**  
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8 This study was conducted within the DAAD scholarship project titled "*Development of materials*  
9 *and manufacturing technologies for electric discharge machinable Zirconia–CNT/Graphene*  
10 *composites*".  
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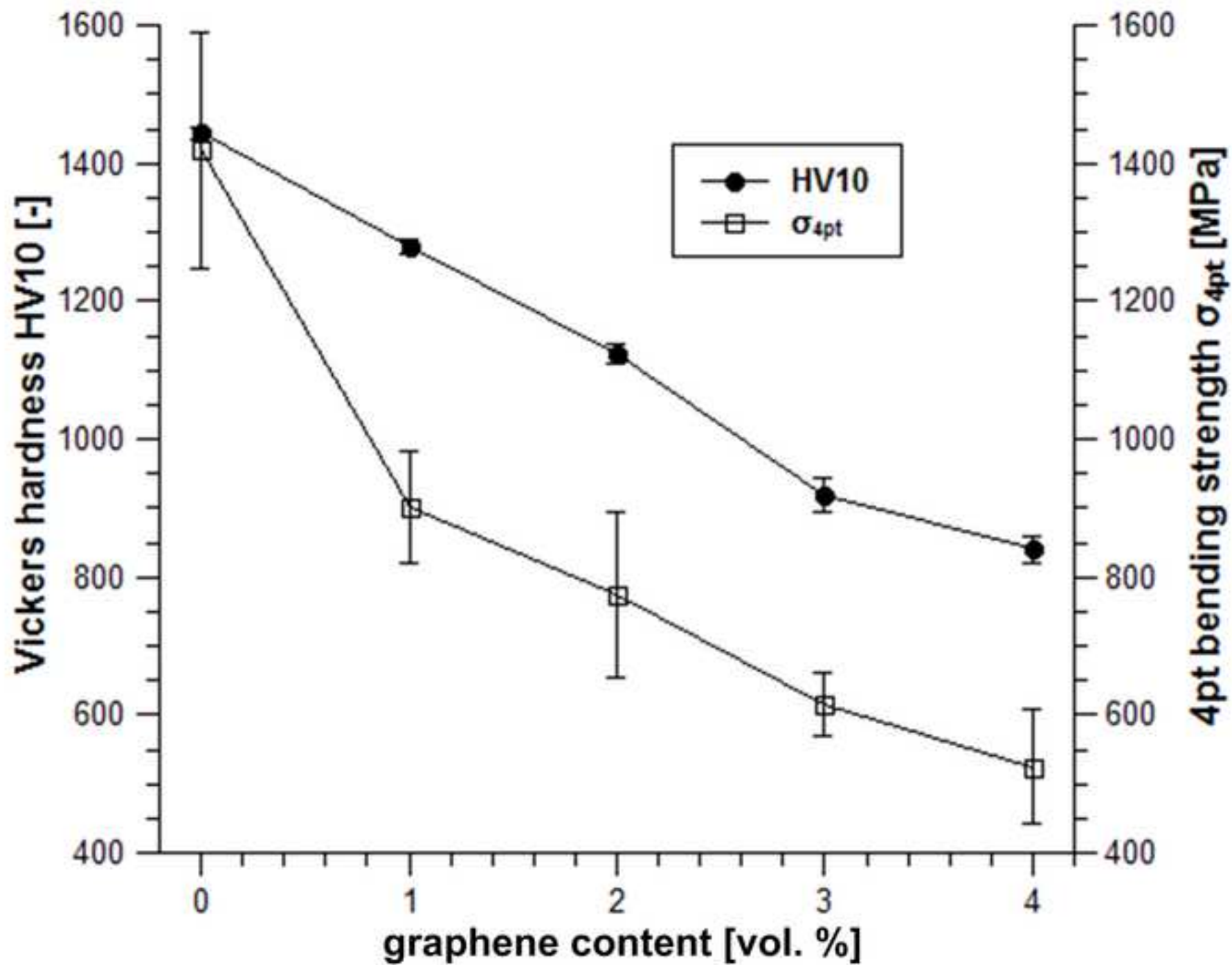
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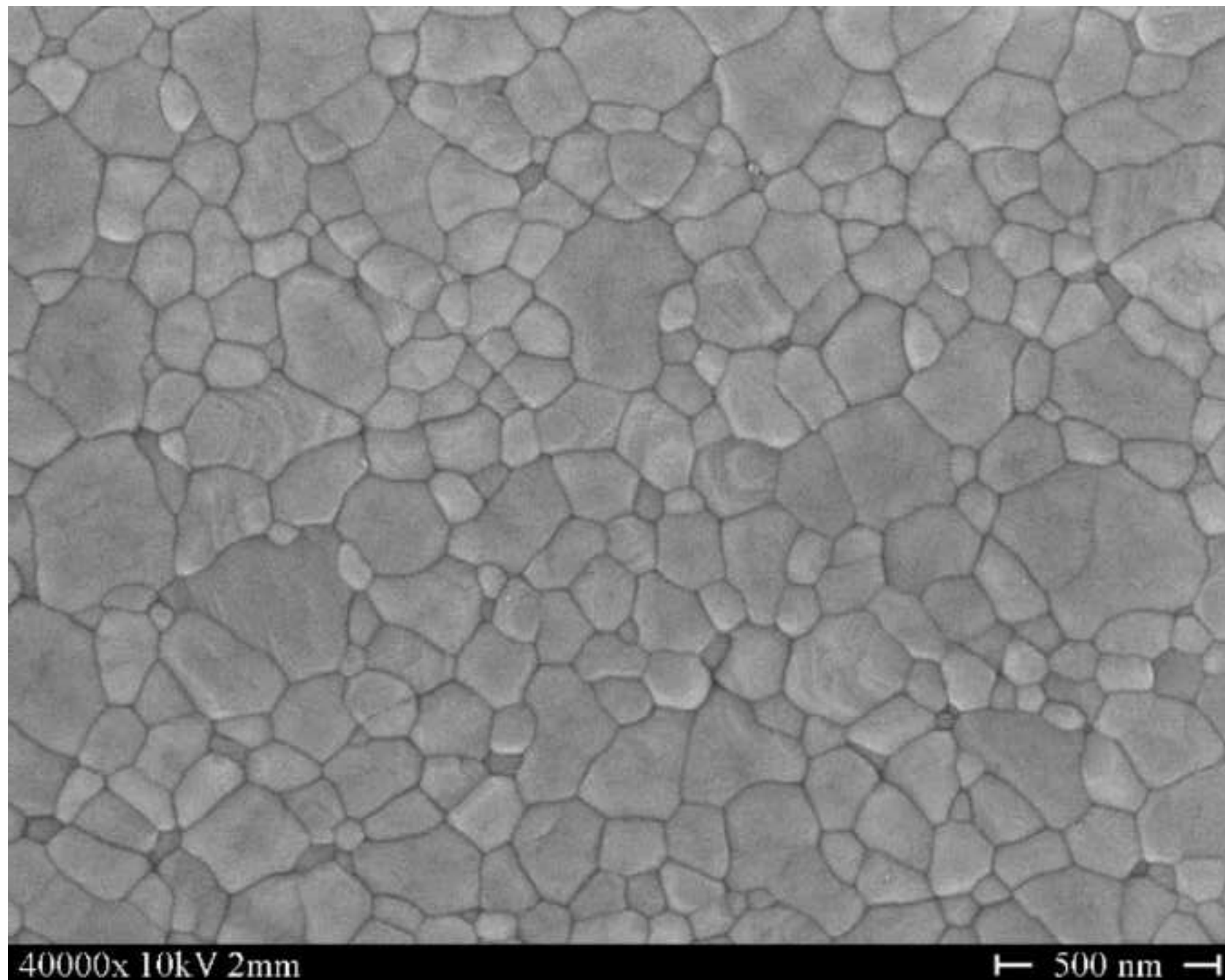
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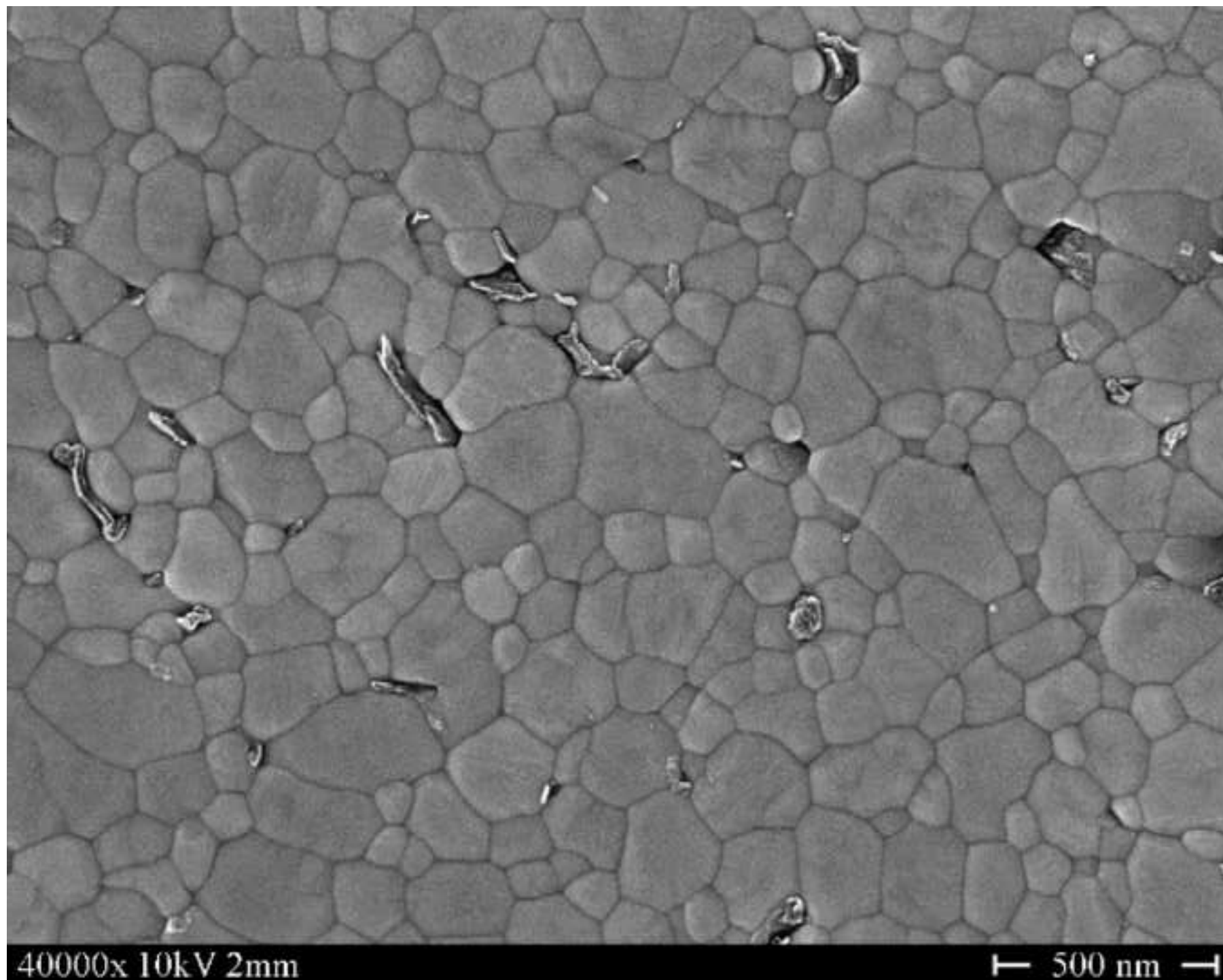
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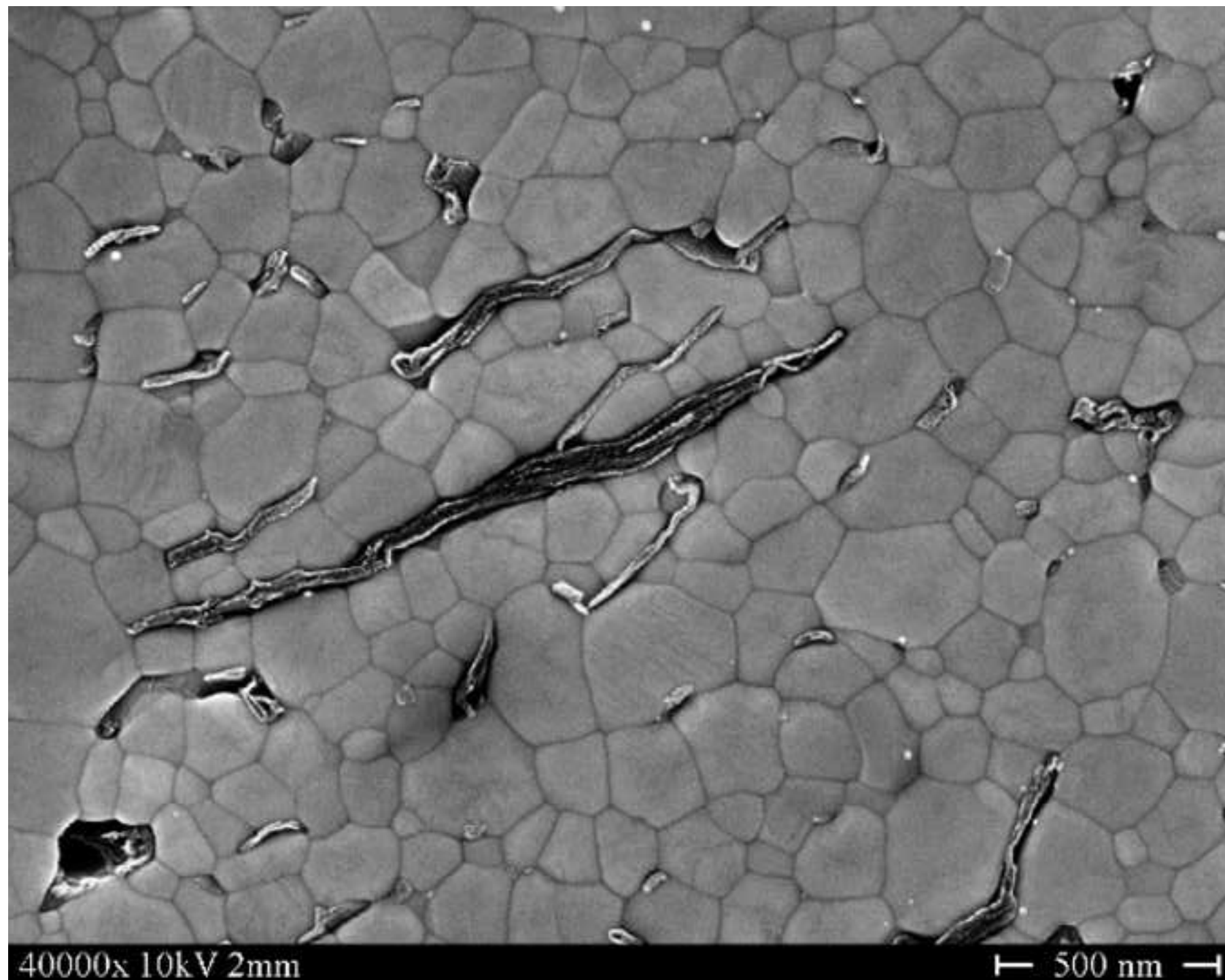
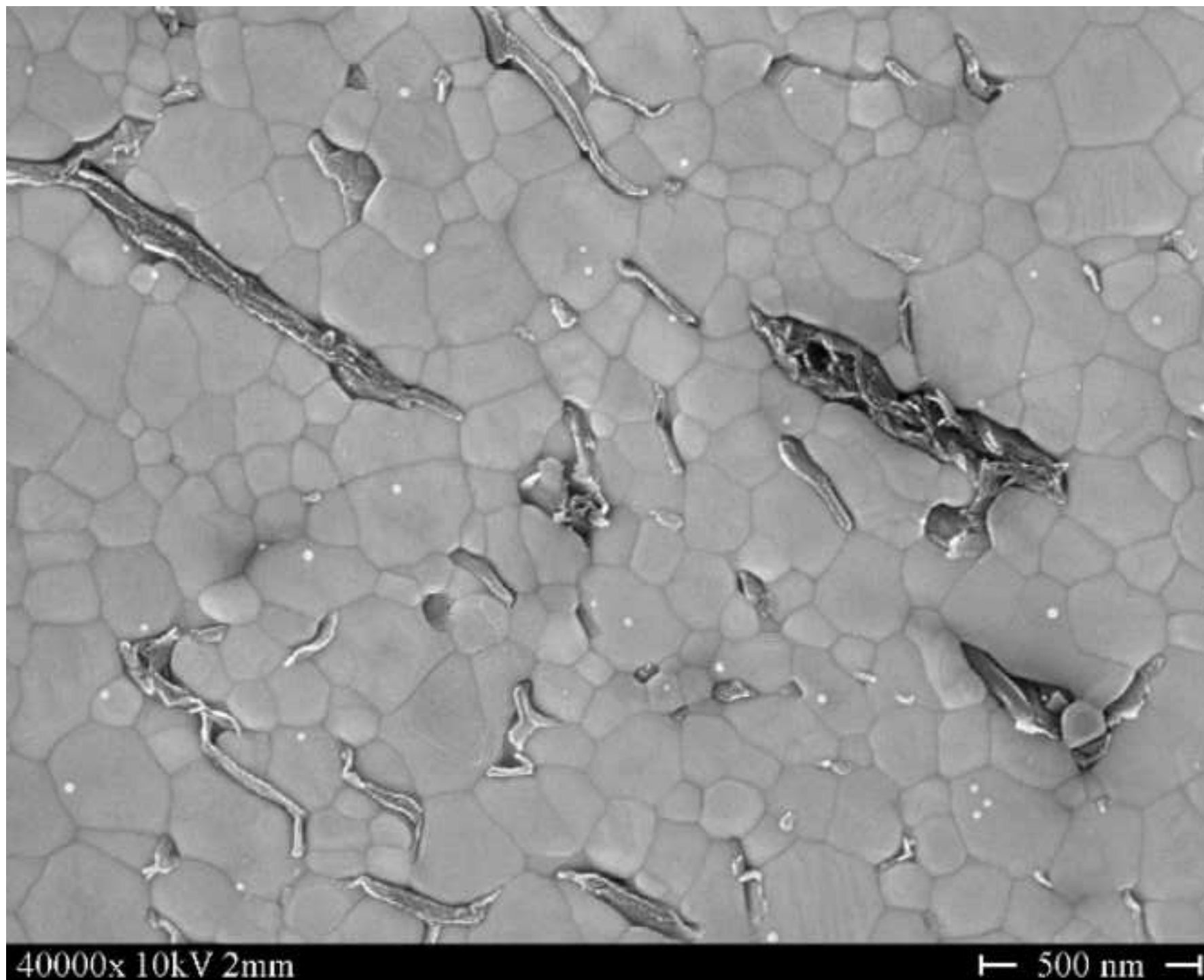
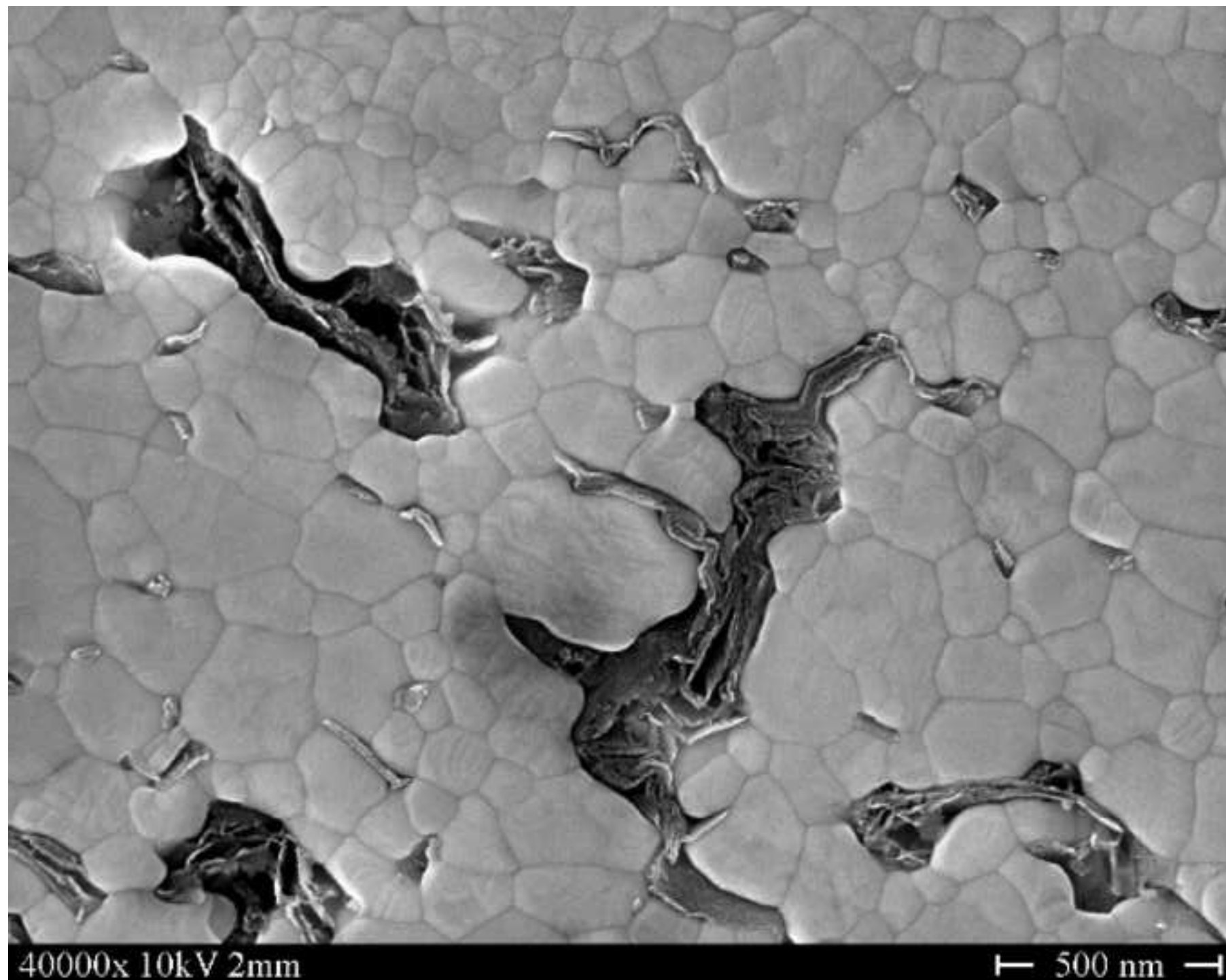


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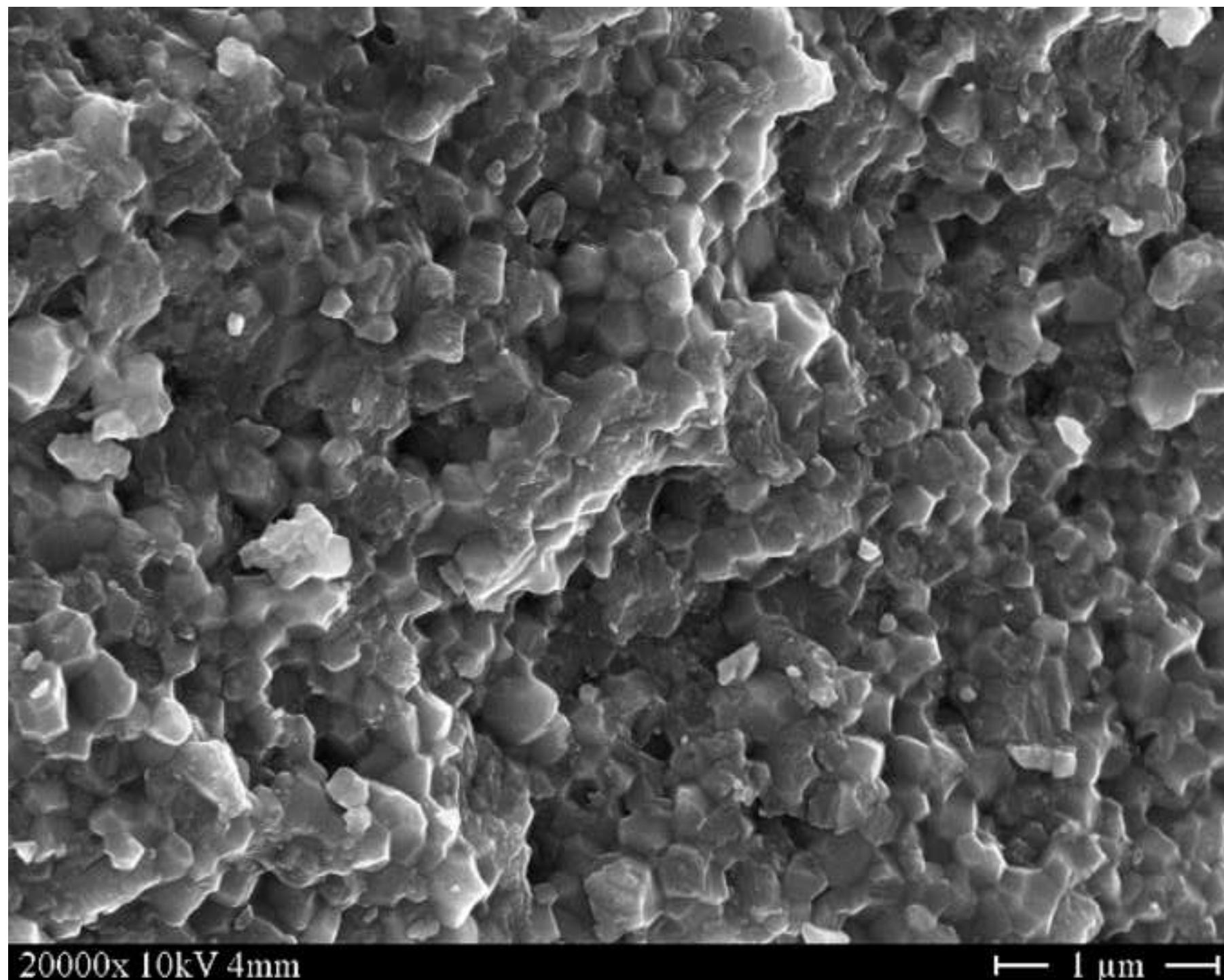
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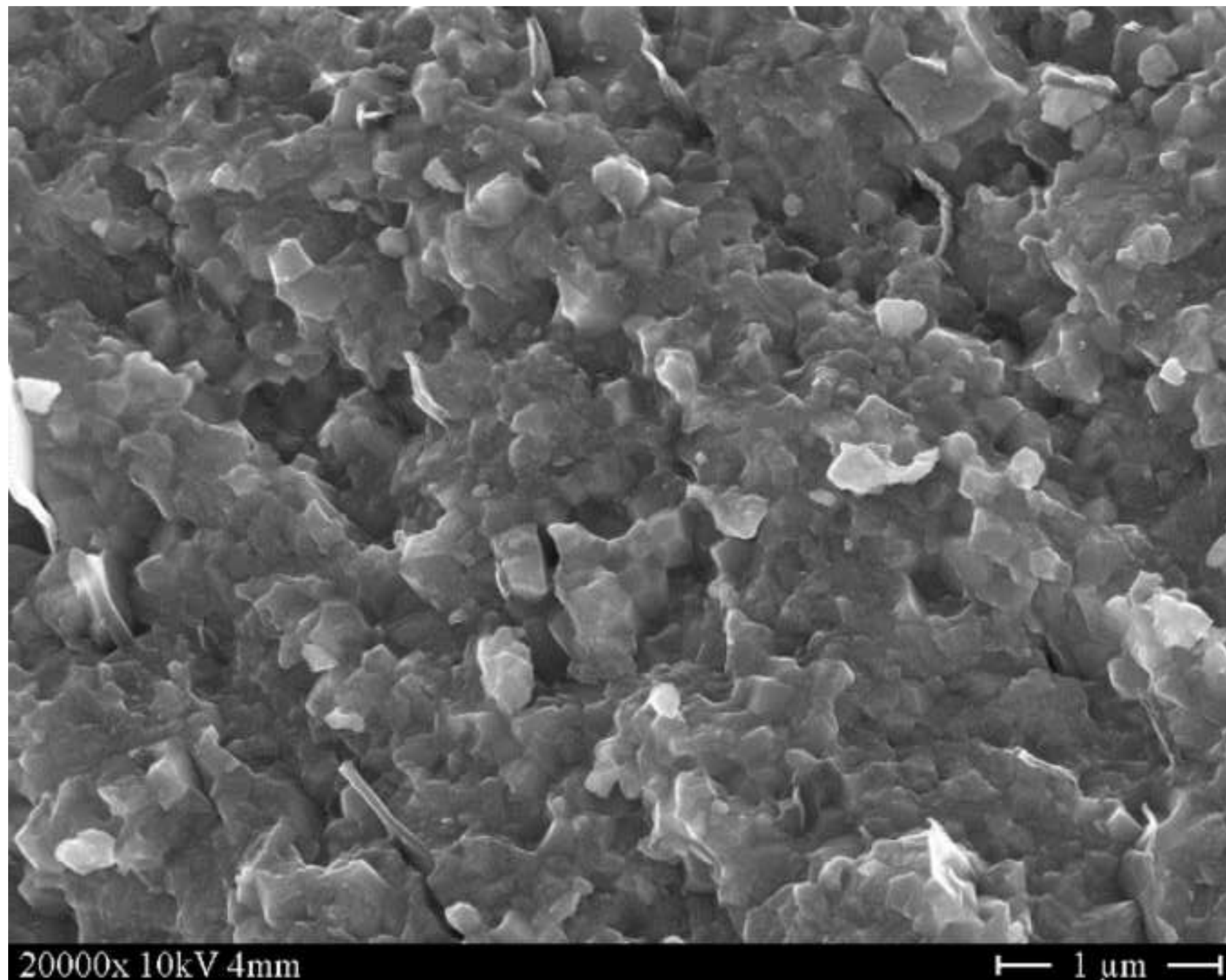
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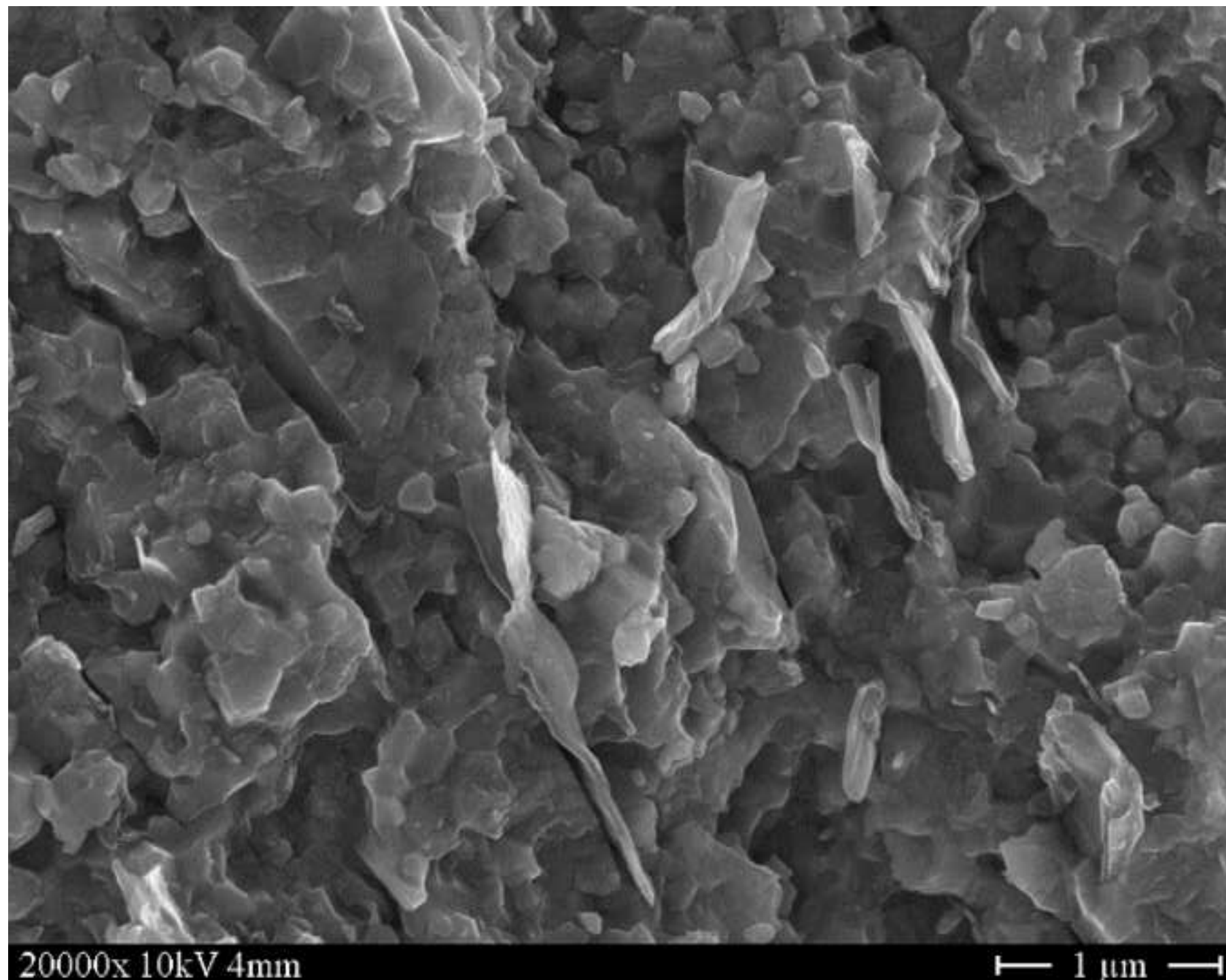
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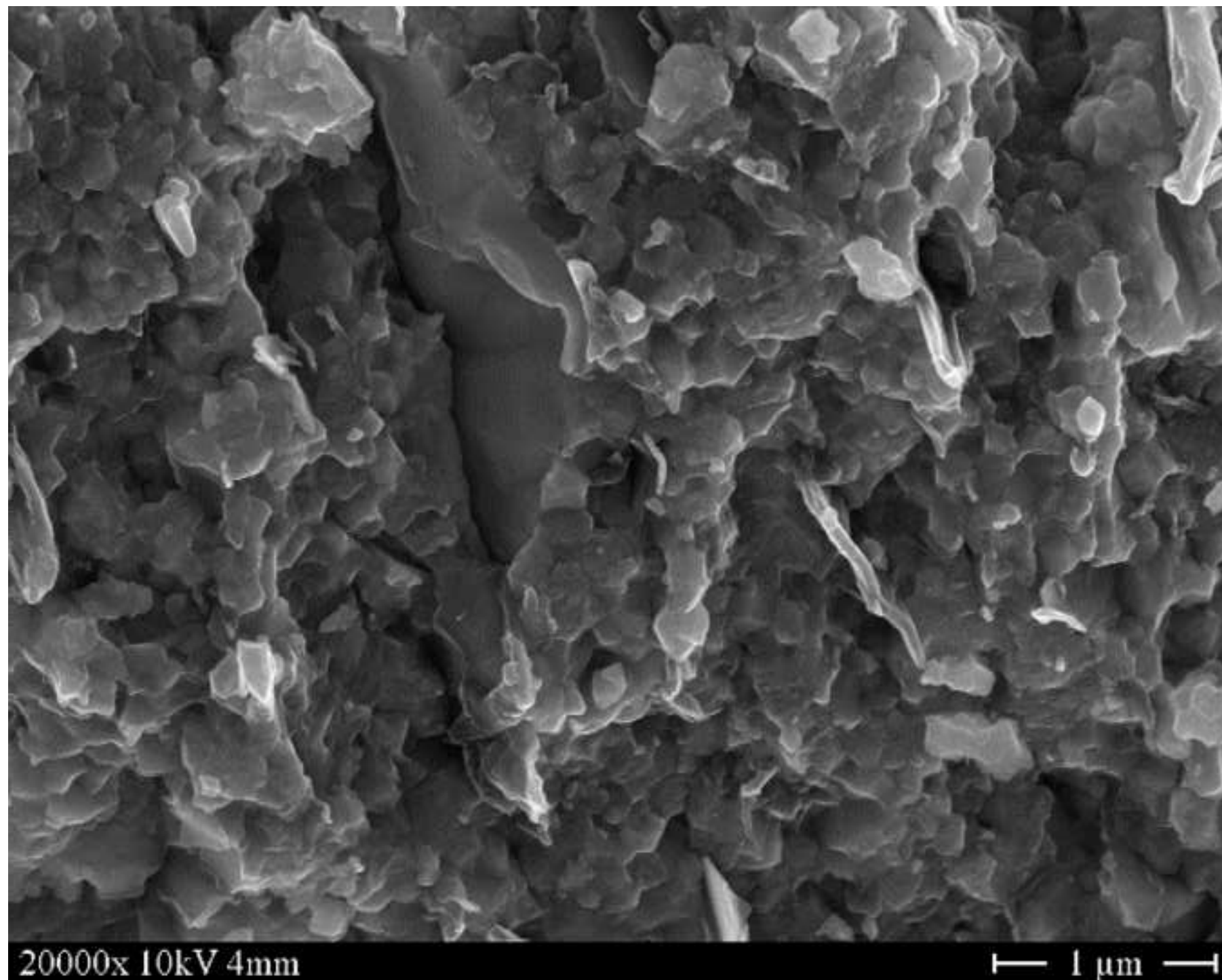
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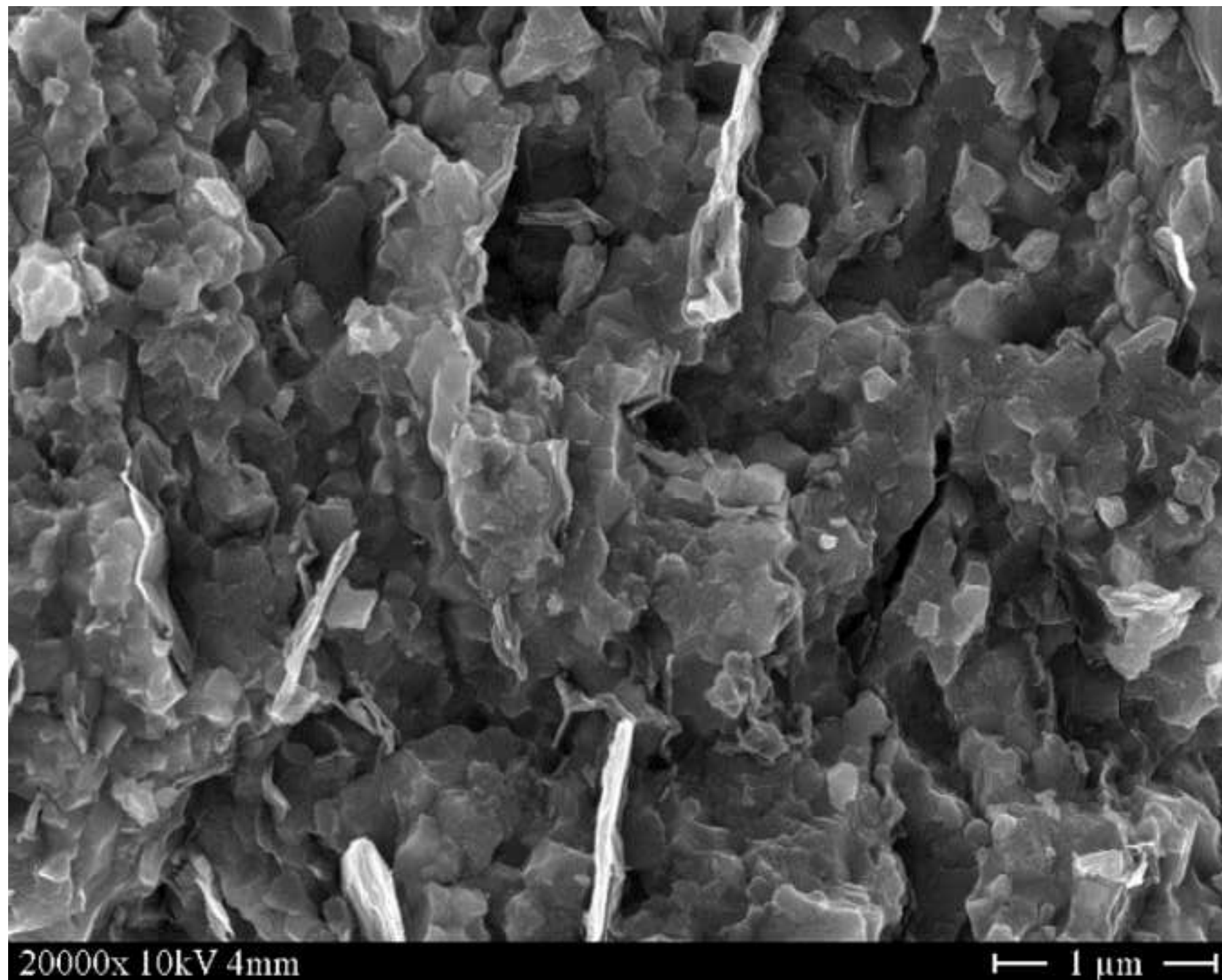


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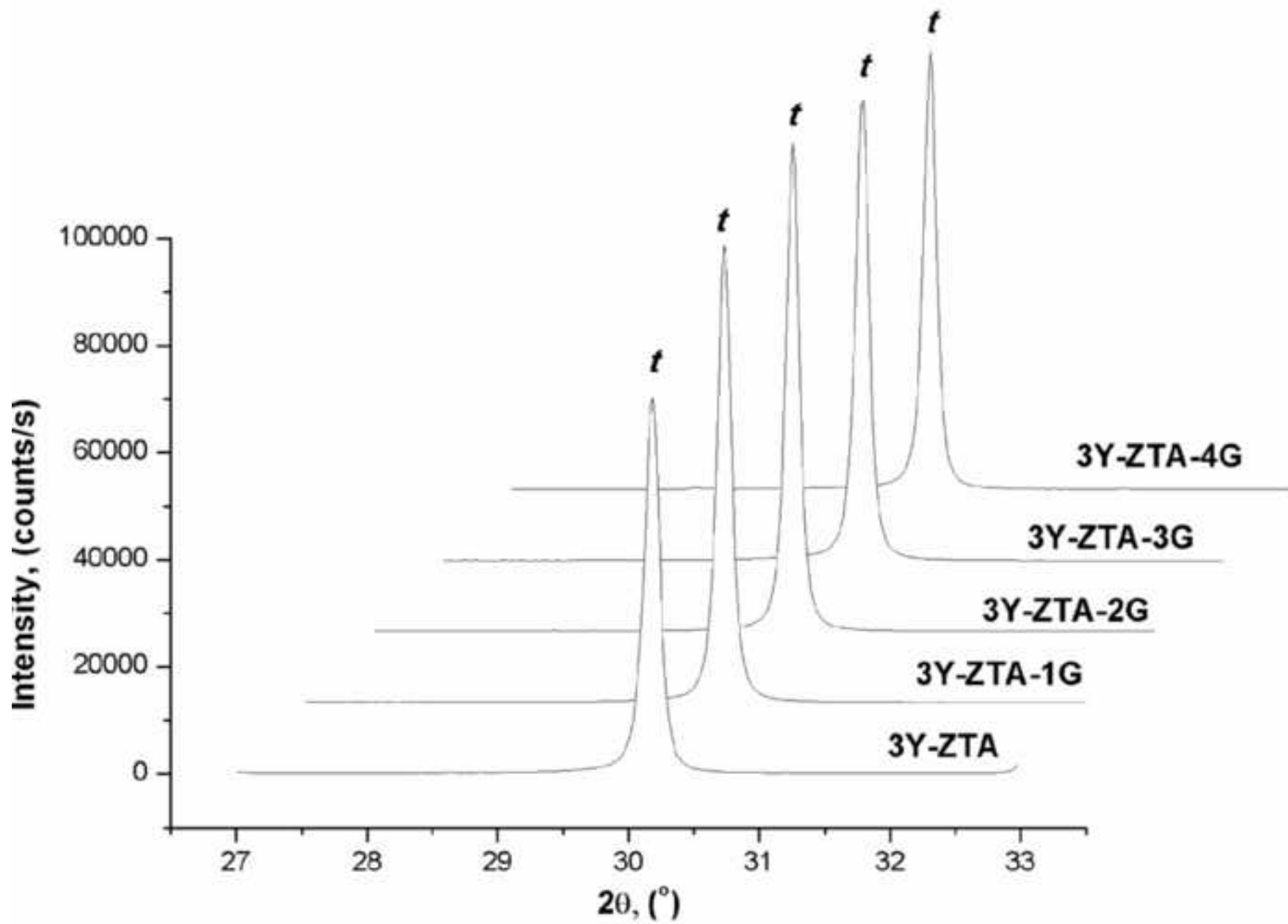


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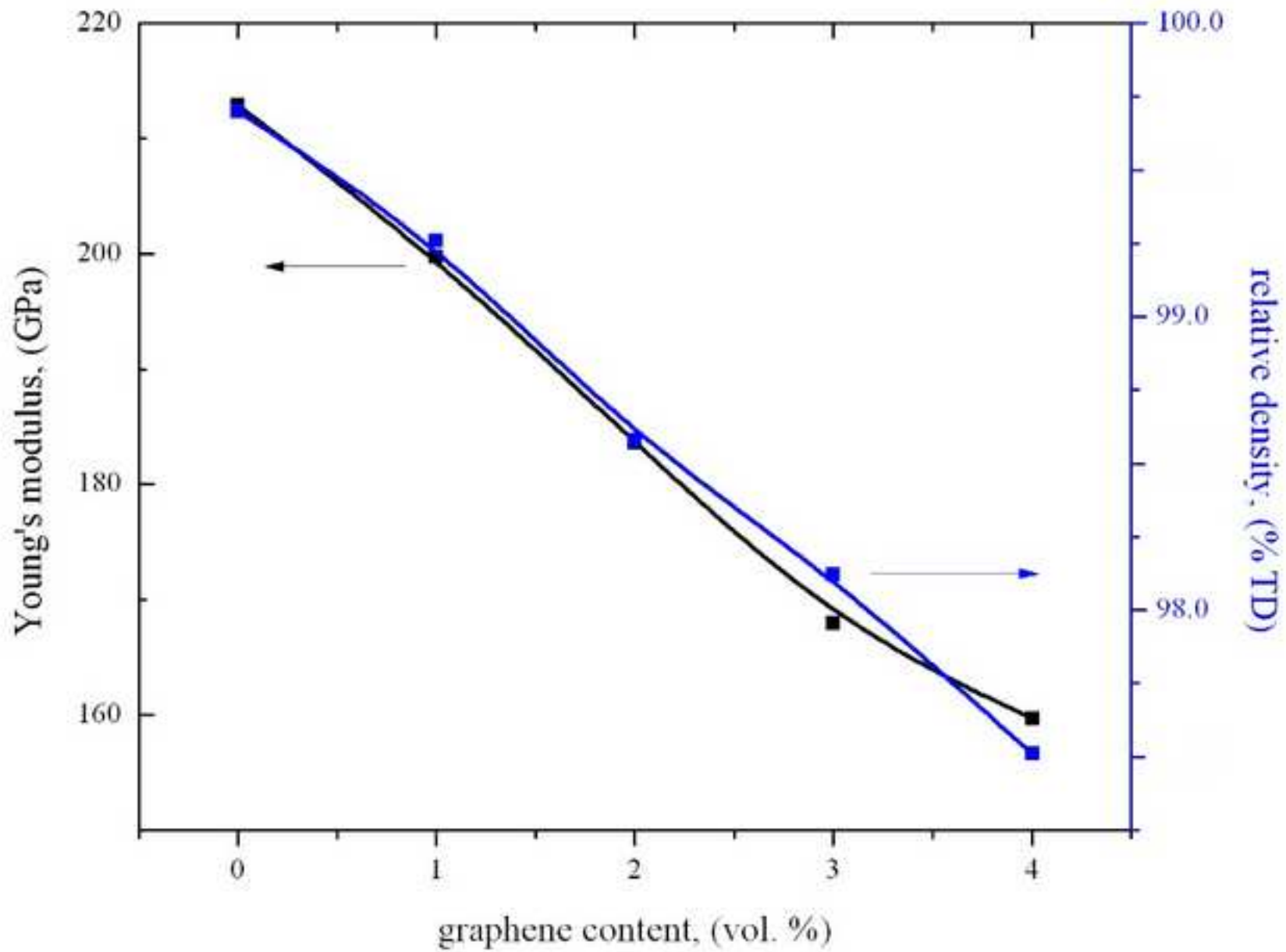


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